THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Fisher et al.

Serial No. : 09/907,907

Examiner

Blanchard, D.

Filed

July 16, 2001

Group Art Unit:

1642

For

GENES DISPLAYING ENHANCED EXPRESSION DURING CELLULAR SENESCENCE AND TERMINAL

CELL DIFFERENTIATION AND USES THEREOF

DECLARATION OF DR. PAUL B. FISHER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. I, Dr. Paul B. Fisher, am an expert in cell biology, gene identification and cancer gene therapy. I currently am a Professor of Clinical Pathology and Director of Neuro-oncology with joint appointments in the Departments of Pathology, Urology and Neurosurgery and am the Michael and Stella Chernow Urological Cancer Research Scientist at the College of Physicians and Surgeons, Herbert Irving Comprehensive Cancer Center, Columbia University, New York, New York. I have a Ph.D. in cell biology, virology and somatic cell genetics. I have held academic positions for more than 20 years. I have as of the present time published more than 200 peer-reviewed articles in prestigious scientific journals, been commissioned to write several review articles and invited to deliver national and international seminars in my area of expertise. I am the recipient of several federally and privately funded research grants. I have served on scientific review committees for various Federal, private not-for-profit and international agencies including the National Institutes of Health, the CaPCure Foundation, The Samuel Waxman Cancer Research Foundation, The California Breast Cancer Research Foundation, The Dutch Cancer Research Society, the Italian Cancer Research Foundation etc. I hold a number of patents. A copy of my curriculum vitae is attached as Exhibit 1.

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- 2. I am a co-inventor of the above-identified Patent Application.
- 3. The experiments described in the specification of the above-identified application were performed under my direction.
- 4. I understand that the Examiner has questioned whether mRNA levels of the claimed Old-35 gene correlate with protein expression and whether there is a correlation between an altered level of OLD-35 protein and a specific disease state. In response, I offer the following information based on findings of experiments performed under my supervision:
- Α. I would like to invite the Examiner's attention to EXHIBIT 2. The experimental data shown in EXHIBIT 2 demonstrates a correlation between the expression of Old-35 mRNA and OLD-35 protein.

Part A of the exhibit is a Northern blot performed by separating total cellular RNA derived from HO-1 human melanoma cells by electrophoresis and transferring the RNA onto a nylon membrane. Each lane represents a separate sample of RNA derived from distinct HO-1 cell populations not treated (Lane 0) or treated for 6, 12, 24, 36 and 48 hours with 1000 units of IFN-β in Lanes labeled 6, 12, 24, 36 and 48 respectively. A radioactively labeled Old-35 gene was used as probe to hybridize to Old-35 RNA molecules present on the membrane. Positive signals were detected by exposure to film which detect membrane-bound, radioactive, Old-35 gene-specific probe. The membrane was also hybridized to a control gene probe corresponding to the Glyceraldehyde-3-phosphate dehydrogenase gene (GAPDH) which demonstrates, by showing signals of equal intensity in all lanes, that each lane contains the same amount of total RNA.

Part B of EXHIBIT 2 is a Western Blot of total protein extract derived from HO-1 human melanoma cells gel-electrophoretically separated based on size of individual proteins in the extract. Following separation the proteins were transferred onto a nitrocellulose membrane. The transferred proteins were reacted with an antibody recognizing the OLD-35 protein. Positive signals, indicating presence of OLD-35 protein are detected by exposure to film utilizing a chemiluminesent detection method. Each lane represents a separate sample of protein derived from distinct HO-1 cell populations not treated (Lane 0) or treated for 6, 12, 24, 36 and 48 hours

with 1000 units of IFN- β in Lanes labeled 6, 12, 24, 36 and 48 respectively. The membrane is also utilized to detect EF1- α protein with an EF1- α specific antibody. By showing signals of approximately equal intensity in all lanes utilizing the EF1- α antibody, it is demonstrated that each lane contains the same amount of total protein.

As seen in EXHIBIT 2, part A, induction of the Old-35 gene occurs following treatment of HO-1 cells, a human metastatic melanoma derived cell-line, with Interferon-β as described in the specification. Robust gene expression at the RNA level is induced 6 h following Interferon-β treatment. There is very low undetectable level of Old-35 gene expression prior to treatment with the inducer, Interferon-β. Protein expression is visible at 6 h and peaks at 36h before a fall in level is seen at 48 h post-treatment with Interferon-β. The kinetics of RNA and protein expression is parallel and correlative since the peak level of protein induction clearly follows the peak levels of RNA induction and both follow similar induction kinetics. The difference in timing of peak levels and decay kinetics is because protein expression has to necessarily follow production of mRNA. Differences in the amplitude of signal is due to differences in half-life or relative stability of mRNA compared to protein and differences in the sensitivity of experimental procedure involving radioactive detection in part A and less sensitive chemiluminesent detection in part B. Therefore, there is indeed a parallel between expression of Old-35 mRNA and OLD-35 protein, in that there is a clear correlation between an increase in Old-35 mRNA expression and a proportional increase in OLD-35 protein levels within cells.

B. I would like to emphasize that the level of OLD-35 protein being analyzed and detected in EXHIBIT 2, part B is endogenous cellular protein. This demonstrates that any antibody used in similar experimental set-ups with similar recognition specificity would be able to detect OLD-35 protein and such results would be easily anticipated by persons skilled in the art based on the instant specification. In this regard I would also like to note that this application discloses a protein having amino acid sequence SEQ ID NO:42, which comprises amino acid residues 18-697 of the 783 amino acids comprising OLD-35 protein and in addition, containing nine additional residues at the C-terminus not found in the native OLD-35 protein. Based on my experience and the data presented in EXHIBIT 2, part B (a Western blot developed using an OLD-35 antibody to OLD-35 protein sequence residues 1-783), I believe that the antibody

generated against a protein having SEQ ID NO:42 would be extremely likely to recognize endogenous cellular OLD-35 protein, in that the nine foreign amino acid residues present therein and constituting less than two percent of the complete sequence, would not cause interference in the specificity of binding, and I further believe that the likelihood of generating an antibody directed exclusively toward these nine amino acids is low, given the low percentage of the protein they represent.

- C. The experimental model utilized in the experiments in EXHIBIT 2, parts A and B, comprises in part, a human cell line called HO-1, derived from a human metastatic melanoma. This cell line has been demonstrated by several peer-reviewed research publications from my laboratory and others to be a suitable *in vitro* model for study of biochemical pathways and genes involved in growth arrest and reversible or terminal differentiation. Treatment of HO-1 with Interferon-β causes HO-1 cells to growth arrest. Therefore the data in EXHIBIT 2, parts A and B demonstrate that endogenous levels of both mRNA and protein expressed by the Old-35 gene change in parallel when cells undergo physiological changes such as growth arrest, differentiation or aging, so that detection of protein levels using the claimed antibodies would be useful in detecting such changes. Thus, an antibody of the invention would be useful in detecting growth arrest, differentiation or aging.
- 5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of the Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this reissue application or any patent issued thereon.

Dr Paul R Fisher

Pov. 2, 2004

Date

BIOGRAPHICAL SKETCH

| Paul B. Fisher | POSITION TITLE Professor | | |
|---|--------------------------------|------------------------------|---|
| INSTITUTION AND LOCATION | DEGREE (if applicable) | YEAR(s) | FIELD OF STUDY |
| Hunter College of CUNY, NY Herbert H. Lehman College of CUNY, NY Rutgers University, NJ Waksman Institute of Microbiology | B.A. M.A. M.PH. Ph.D. | 1968 1971 1973 1974 | Biology / Chemistry Genetics Cell Biology, Virology & Somatic Cell Genetics |

Professional Experience:

1987-Present Michael and Stella Chernow Urological Cancer Research Scientist, Departments of Pathology

and Urology, Columbia University, College of Physicians & Surgeons, NY, NY 10032

1987-Present Adjunct Professor and Visiting Scholar, New York University, NY, NY 10003 1988-Present Director of Neuro-Oncology Research, Department of Neurological Surgery,

Columbia University, College of Physicians & Surgeons, NY, NY 10032

1991-Present Professor of Clinical Pathology, Department of Pathology, Columbia University,

College of Physicians and Surgeons, NY, NY 10032

Editorial and Association Boards: Archives of AIDS Research (Associate Editor); Cancer Biology and Therapy (Editorial Board); Cancer Research (Associate Editor); In Vivo (Associate Editor); International Institute of Cancer Research (Scientific Advisory Board); International Journal of Oncology (Associate Editor); International Society of Cancer Gene Therapy (Council Member); International Society of Differentiation (Board of Directors); Journal Experimental Therapeutics & Oncology (Associate Editor); Journal Experimental & Clinical Cancer Research (Associate Editor); Mechanisms of Differentiation (Series Editor; CRC Press); Molecular & Cellular Differentiation (Editor-in-Chief; CRC Press); Urology (Expert Reviewer); Consultantships: Project and Site Visit Reviewer for Health Effects Division of DOE; Ad Hoc Reviewer Chemical Pathology Study Section; Grant Reviewer. NSF, NCI, DOE, New Jersey Commission on Cancer Research, California Breast Cancer Foundation and Ontario Ministry of Health, Canada.

Selected Publications (from a Total of 300):

- Jiang, H., J. J. Lin, Z.-z. Su, N. I. Goldstein and P. B. Fisher. Subtraction hybridization identifies a novel melanoma differentiation associated gene, mda-7, modulated during human melanoma differentiation, growth and progression. Oncogene 11: 2477-2486, 1995.
- Jiang, H., Z.-z. Su, J. J. Lin, N. I. Goldstein, C. S. H. Young and P.B. Fisher. The melanoma differentiation associated
- gene mda-7 suppresses cancer cell growth. Proc. Natl. Acad. Sci. USA 93: 9160-9165, 1996. Su, Z.-z., Y. Shi and P.B. Fisher. Subtraction hybridization identifies a progression elevated gene PEG-3 with sequence homology to a growth arrest and DNA damage inducible gene. Proc. Natl. Acad. Sci. USA 94: 9125-9130, 1997.
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- 5. Kang, D.-c., R. La France, Z.-z. Su and P.B. Fisher. Reciprocal subtraction differential RNA display (RSDD): an efficient and rapid procedure for isolating differentially expressed gene sequences. Proc. Natl. Acad. Sci. USA 95: 13788-13793, 1998.
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- 9. Gopalkrishnan, R. V., K. A. Christiansen, N. I. Goldstein, R. A. DePinho and P. B. Fisher. Use of the human EF-1a promoter for expression can significantly increase success in establishing stable cell lines with consistent expression: a study using the tetracycline inducible system in human cancer cells. Nucl. Acids Res. 27: 4775-4782, 1999.
- 10. Madireddi, M. T., Su, Z.-z., C.S.H. Young, N.I. Goldstein and P.B. Fisher. Mda-7, a novel melanoma differentiation associated gene with promise for cancer gene therapy. Adv. Exptl. Med. Biol. 465: 239-261, 2000.
- 11. Madireddi, M.T., P. Dent and P.B. Fisher. Regulation of mda-7 gene expression during human melanoma differentiation. Oncogene 19: 1362-1368, 2000.

Principal Investigator/Program Director (Last, first, middle): Fisher, Paul B.

12. Madireddi, M.T., P. Dent and P.B. Fisher. AP-1 and C/EBP transcription factors contribute to mda-7 gene promoter activity during human melanoma differentiation. J. Cell. Physiol. 185: 36-46, 2000.

13. Jiang, H., D.-c. Kang, D. Alexandre and P. B. Fisher. RaSH, A rapid subtraction hybridization approach for identifying

and cloning differentially expressed genes. Proc. Natl. Acad. Sci. USA 97: 12684-12689, 2000.

14. Su, Z.-z., Y. Shi and P. B. Fisher. Cooperation between AP1 and PEA3 sites within the progression elevated gene-3 (PEG-3) promoter regulate basal and differential expression of PEG-3 during progression of the oncogenic phenotype in transformed rat embryo cells. Oncogene 19: 3411-3421, 2000.

15. Kang, D.-c., H. Jiang, Q. Wu, S. Pestka and P. B. Fisher. Cloning and characterization of human ubiquitin-processing protease-43 from terminally differentiated human melanoma cells using a rapid subtraction hybridization protocol

RaSH. Gene 267: 233-242, 2001.

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- 18. Su, Z.-z., Y. Shi, R. Friedman, L. Qiao, D. Hinman, P. Dent and P. B. Fisher. PEA3 sites within the progression elevated gene-3 (PEG-3) promoter and mitogen activated protein kinase contribute to differential PEG-3 expression in Ha-ras and v-raf oncogene transformed rat embryo fibroblast cells. Nucl. Acids Res. 29: 1661-1671, 2001.
- 19. Su, Z.-z., I.V. Lebedeva, R.V. Gopalkrishnan, N.I. Goldstein, C. A. Stein, J.C. Reed, P. Dent and P.B. Fisher. A combinatorial approach for selectively inducing programmed cell death in human pancreatic cancer cells. Proc. Natl.

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21. Gopalkrishnan, R.V., D.-c. Kang and P.B. Fisher. Molecular markers and determinants of human prostate cancer

metastasis. J. Cell. Physiol. 189: 245-256, 2001.

- 22. Pillutla, R.C., A.J. Blume, N.I. Goldstein and P.B. Fisher,. Target validation and drug discovery using genomic and display technologies. Expert Opinion in Therapeutic Targets 6: 517-532, 2002.
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from HIV-1 infection or TNF-α treatment. Gene 306: 67-78, 2003.

Principal Investigator/Program Director (Last, first, middle):___ Fisher: Paul B.

34. Yacoub, A., C. Mitchell, A. Lister, I.V. Lebedeva, D. Sarkar, Z.-z. Su, C. Sigmon, R. McKinstry, V. Ramakrishnan, L. Qiao, W.C. Broaddus, R.V. Gopalkrishnan, S. Grant, P.B. Fisher and P. Dent. mda-7 (IL-24) inhibits growth and enhances radiosensitivity of glioma cells in vitro and in vivo. Clinical Cancer Res. 9: 3272-3281, 2003.

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and inducing reactive oxygen species. Cancer Res. 63: 8138-8144, 2003.

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41. Lebedeva, I.V., D. Sarkar, Z.-z. Su, S. Kitada, P. Dent, C.A. Stein, J.C. Reed and P.B. Fisher. Bcl-2 and Bcl-xt differentially protect human prostate cancer cells from induction of apoptosis by melanoma differentiation associated gene-7, mda-7/IL-24. Oncogene 22: 8758-8773.

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47. Pestka, S., C. D. Krause, D. Sarkar, M. R. Walter, Y. Shi and P.B. Fisher. Interleukin-10 and related cytokines and receptors. Annu. Rev. Immunol., 22:929-979, 2004.

Research Projects Active and Completed During the Last 3 Years:

"Analysis of Progression of the Transformed Phenotype" (Active)

Principal Investigator: Fisher, P.B. Type/Grant No.: 1 R01 CA35675-19 Funding Agency: NIH/NCI Period: 04/01/84 to 11/30/07

Determine the functional significance of a novel gene progression elevated gene-3 (PEG-3) in cancer progression.

"Mda-7: Novel Cancer Therapeutic Gene" (Active)

Principal Investigator: Fisher, P.B. Type/Grant No.: 1 R01 CA97318-03

Funding Agency: NIH/NCI Period: 10/01/02 to 9/30/07

Mechanism of action of the novel cancer-specific apoptosis-inducing gene mda-7/IL-24. This project focuses on the role of mda-7/IL-24 in inducing apoptosis selectively in melanoma with emphasis on interacting proteins and the role of cell surface receptors in mediating mda-7 activity.

"Novel Approaches for Pancreatic Cancer Therapy" (Active)

Principal Investigator: Fisher, P. B. Type/Grant No.: 1 R01 CA098712-02

Funding Agency: NIH/NCI 1/21/03 to 1/01/08

Principal Investigator/Program Director (Last, first, middle): Fisher, Paul B.

The present studies are designed to mechanistically evaluate novel combinatorial therapeutic approaches for human pancreatic cancer and to develop new replicating viral vectors that can specifically and selectively target pancreatic cancer cells for destruction.

"Molecular Mechanisms of HIV-1 Mediated Encephalopathy" (Active)

Principal Investigator: Volsky, D.J. Type/Grant No.: 1 P01 NS31492-11

Funding Agency: NIH/NS Period: 05/01/98 to 08/31/08

The major goals of this project are to determine the role of a novel gene astrocyte elevated gene-1 (AEG-1) and glutamate transport in HIV-induced neuropathy. Studies will also focus on defining factors that can modulate the activity of the EAAT2 transporter in astorocytes. Program director for project 2 of this Program Project Grant.

"Mda-5: Novel Apoptosis Inducing Gene" (Active)

Principal Investigator: Fisher, P.B. Type/Grant No.: 1 R01 GM068448-01

Funding Agency: NIH/GM Period: 08/01/04 to 07/31/08

The major goals of this grant are to define the mechanism of action of a novel virus and interferon inducible gene, mda-5, which contains both a caspase recruitment domain (CARD) and a putative RNA helicase domain and can induce apoptosis.

"Exploiting Defects in Molecular Circuitry to Selectively Kill Pancreatic Cancer Cells" (Active)

Principal Investigator: Fisher, P.B.

Funding Agency: Lustgarten Foundation for Pancreatic Cancer Research

Type/Grant No.: LF04-071

Period: 1/7/04 to 12/31/04

These studies were designed to evaluate in animal models a novel conditionally replicating adenovirus that replicates only in pancreatic cancer cells, while simultaneously expressing interferon gamma.

"Novel Prostate Cancer Gene and Monoclonal Antibody" (Completed)

Principal Investigator: Fisher, P.B. Type/Grant No.: 1 R01 CA74468-05

Funding Agency: NIH/NCI Period: 08/20/98 to 06/30/04

The major goals of this grant are to determine the functional and translational potential of prostate carcinoma tumor antigen gene-1 (PCTA-1) and a novel monoclonal antibody in human prostate cancer.

"Strategies for Selectively Eradicating Prostate Cancer Cells" (Completed)

Principal Investigator: Fisher, P.B.
Type/Grant No.: DAMD-02-1-0041-01

Funding Agency: Army, DOD Period: 03/04/02 to 06/01/04

The major goals of this project are to develop replication incompetent adenoviruses using the PEG-promoter to regulate expression of immune regulating genes in the context of prostate cancer. No overlap with the current project.

"Targeted Therapy of Human Breast Cancer" (Completed)

Principal Investigator: Fisher, P.B. Type/Grant No.: R21 CA87170-02

Funding Agency: NIH/NCI Period: 06/01/01 to 05/31/03

The major goals of this project are to develop replication incompetent adenoviruses using the PEG-promoter to regulate gene expression in the context of breast cancer.

"Enhancing the Antitumor Effects of a Cancer Suppressor Gene in Colorectal Cancers" (Completed)

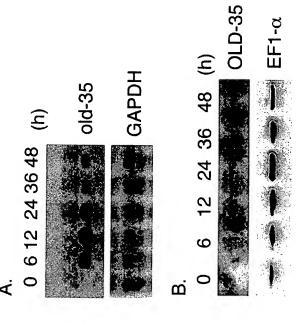
Principal Investigator: Fisher, P.B.

Funding Agency: Introgen Therapeutics Inc.

Type/Grant No.: Sponsored Research Agreement

Period: 05/01/02 to 04/30/03

The goal of this project is to develop approaches for enhancing the antitumor activity of tumor suppressor genes toward colorectal cancer cells. No overlap exists with the current project.



Treatment with interferon (IFN)-β induces hPNPaseold-35 both at mRNA and protein levels. HO-1 cells were treated with 1000 units of IFN-β for the indicated time points and analyzed for hPNPaseold-35 mRNA and protein expression by Northern (A) and Western (B) blot analyses, respectively. GAPDH and EF1-α were used as loading controls.

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| Fisher et al. | (Applicant) | Information* | (Title of Paper) | The stamp of the Patent Office Mail Room hereon acknowledges the above-identified papers on the date indicated by such stamp. |
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*1) Information Disclosure Statement (13 pages + copy); 2) PTO 1449 form with 105 references in three (3) bound volumes; and 3) Return Receipt Postcard.

